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13 Attorneys for Defendants

14 **IN THE UNITED STATES DISTRICT COURT**  
15 **FOR THE WESTERN DISTRICT OF WASHINGTON**

16 WASHINGTON TOXICS COALITION, )  
17 NORTHWEST COALITION FOR )  
18 ALTERNATIVES TO PESTICIDES, )  
19 PACIFIC COAST FEDERATION OF )  
20 FISHERMEN'S ASSOCIATIONS, and )  
21 INSTITUTE FOR FISHERIES RESOURCES, )

Case No. C01-0132C

22 Plaintiffs, )

DECLARATION OF NORMAN B. BIRCHFIELD, PH.D.

23 vs. )

24 ENVIRONMENTAL PROTECTION AGENCY, )  
25 and CHRISTINE T. WHITMAN )

26 Defendants. )

27 vs. )

28 AMERICAN CROP PROTECTION ASSOC. et al )

Intervenor-Defendants )

I, Norman B. Birchfield; Ph.D., hereby declare as follows:

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1. I am currently a Senior Biologist and acting Risk Assessment Process Leader in the Environmental Fate and Effects Division (EFED) of the Office of Pesticide Programs (OPP), Office of Prevention, Pesticides and Toxic Substances, at the United States Environmental Protection Agency (EPA). My current duties include developing and reviewing environmental risk assessments for currently used pesticides. In connection with these duties, I regularly assess exposures to non-target organisms resulting from runoff and spray drift and am the EFED technical lead for spray drift exposure assessment. I started working at OPP in December 1997. From 1996 to 1997 I worked as a post graduate research assistant in the Environmental Chemistry and Toxicology Laboratory at the University of California Berkeley.

2. I received my B.A. from the University of California Santa Cruz in Biochemistry and Molecular Biology in 1990 and my Ph.D. in Agricultural and Environmental Chemistry from the University of California Berkeley in 1996. My doctoral and postdoctoral research focused on pesticide mode of action. From 1990 until 1992 I worked as an analytical chemist at ToxScan Inc. in Watsonville, California, where a large part of my duties was pesticide residue analysis. A further description of my expertise and experience, including publications, is contained in my CV which is attached as Exhibit 1.

3. It is well established that pesticides can move off-target through a number of pathways, including through runoff, drift and volatilization. It is similarly well established that non-target organisms, including people and wildlife, can be exposed to pesticides moving off the target site through these pathways. An important question to ask in assessing the need for regulating pesticides, however, is what is the extent to which such exposures results in risks to non-target organisms? Risk to a particular species is a function of both the toxicity of the pesticide to the organism of concern and the amount of exposure to the pesticide. Therefore, in order to evaluate

1 whether a particular pesticide poses an unacceptable risk to certain species, and in order to  
2 determine whether a given regulatory restriction is necessary to protect a given resource, it is  
3 important to understand how toxic the pesticide is to the organism and whether the organism will  
4 be exposed to the pesticide in toxic amounts.

5  
6 4. EFED is responsible for performing environmental risk assessments for non-target  
7 plants and animals. EFED reviews and evaluates data submitted by pesticide registrants and any  
8 other available data pertinent to pesticide toxicity and exposure. In performing exposure  
9 assessments, EFED uses the results of studies on pesticide persistence and mobility in the  
10 environment in its computer-based exposure models. Specifically, these data include rates of  
11 aerobic soil metabolism, soil photolysis, aqueous photolysis, hydrolysis as well as soil  
12 partitioning coefficients. The models then use these data to generate estimates of the  
13 environmental concentrations of pesticides, including water concentrations that may occur in  
14 water bodies near or adjacent to the target site. The quality of the estimated environmental  
15 concentrations (EECs) is dependent on the accuracy of the model in reflecting the site of concern  
16 (i.e., the area where the pesticide will be used) and the quality of the data used in modeling.  
17 Developing accurate EECs for a specific site requires a model that adequately describes that site  
18 (e.g., matching soil characteristics, rainfall frequency and intensity, and crop management  
19 practices) and relevant chemical data. It would be very labor intensive to develop exposure  
20 estimates for each possible use site, given the wide range of possible locations and environmental  
21 conditions where pesticides can be used. For this reason, EFED generally starts with a screening-  
22 level risk assessment methodology where a high-end, or reasonable worst case, exposure scenario  
23 is used in exposure assessment. EFED's farm pond models (see below for specific models),  
24 modeling a treated field, vulnerable to runoff, draining into an adjacent pond with no outlet, are  
25 used to provide reasonable worst-case EECs. The field conditions and rainfall levels in the farm  
26 pond models capture relatively high runoff levels which, when combined with maximum

1 application rates and minimum application intervals, result in relatively high estimated pond  
2 concentrations that are used as EECs to compare to toxicity values. The screening models, when  
3 used according to standard operating procedures with adequate data, generally predict EECs that  
4 are higher than most, if not all, analogous concentrations in the environment resulting from  
5 labeled uses. If exposures from the screening level assessment do not indicate the potential for a  
6 risk of concern when compared with relevant toxicity data, then it is unlikely that any risks of  
7 concern will occur from actual use.

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9         5. For aquatic assessments, EFED's first tier screening level model is the (Gen)eric  
10 (E)stimated (E)nvronmental (C)oncentration Model (GENEEC2) which uses the farm pond  
11 scenario. If the conservative assessment generated by the GENEEC2 model suggests EECs of a  
12 pesticide could pose a risk to non-target species, EFED then generally conducts an assessment  
13 using the more complex Pesticide Root Zone Model / EXposure Analysis Modeling System  
14 (PRZM/EXAMS) to provide a somewhat more refined estimate of pesticide concentrations in  
15 water. Both the GENEEC2 and PRZM/EXAMS models require a number of inputs related to  
16 application rate, application timing, application method, soil half life, water half life, pesticide  
17 mobility in soil, and spray drift loading. These are important parameters in estimating aquatic  
18 concentrations to a water body adjacent to the treatment area where aquatic concentrations are  
19 expected to be highest. However, PRZM/EXAMS offers the ability to refine EECs by offering  
20 more inputs and options, such as different soil types, weather conditions, aquatic conditions, and  
21 spray drift values. Despite refining EECs from GENEEC2, the PRZM/EXAMS modeling results  
22 used in EPA's Reregistration Eligibility Determinations (REDs) cited by plaintiffs generally  
23 represent higher-end EECs that are uncommon in the environment. Conservative aspects in  
24 PRZM/EXAMS model results used in REDs include the scenario of an entire treated field  
25 draining into a small pond, which precludes any dilution from untreated areas, and conservative  
26 inputs such as the maximum permitted application rate and the minimum time interval between

1 applications occurring every year for decades. Quantitative Use Analyses from OPP's Biological  
2 and Economic Analysis Division suggest that pesticides are far more commonly used at rates  
3 lower than that allowed on the label. The selection of soils and site conditions for the model are  
4 intended to represent those that would result in an upper 90<sup>th</sup> percentile EEC. Further, since the  
5 modeled water body is a pond with no outlet rather than a moving water body, material that is  
6 washed into the pond cannot dissipate by being washed farther downslope. Adding to the  
7 conservatism of the approach is the selection of outputs typically used: peak and time-averaged  
8 residue concentrations are the highest values occurring over approximately 30 years of simulated  
9 applications and rainfall.

10  
11 6. The farm pond scenario, the soil characteristics, and the chemical fate and transport  
12 behaviors used in GENEEC2 and PRZM/EXAMS modeling are intended to represent a generic  
13 high-end exposure scenario, not a specific site. Thus the exposures are not intended to be  
14 specific to any one location or species, but instead may be useful in ruling out unacceptable  
15 exposures resulting from a particular pesticide use in general. The models and their supporting  
16 documentation are available on EPA's website at  
17 <http://www.epa.gov/oppefed1/models/water/index.htm>.

18  
19 7. Computer-based exposure models like those discussed above provide risk assessors  
20 with useful tools for assessing exposure. Depending on the application conditions,  
21 environmental conditions, and chemical properties EECs can vary greatly. Toxicity can also  
22 vary greatly across pesticides. Toxicity to particular organisms can be highly dependent on the  
23 specific pesticide and the conditions and route(s) of exposure. Since risk is a function of toxicity  
24 and exposure, risk estimates can be greatly affected by variables affecting exposure and toxicity  
25 such as:

26 • Application conditions: application method, application equipment, use site, application  
27

- 1 rate and frequency, and droplet size.
- 2 • Environmental fate and transport: chemical properties, soil affinity, persistence in water  
3 and soil under a range of conditions, and the production of toxic transformation products.
- 4 • Pesticide toxicity: Route of exposure; acute, chronic, reproductive, and developmental to  
5 a range of organisms.
- 6 The risks of pesticides to non-target organisms are expected to be highly dependent on these  
7 factors which vary greatly from pesticide to pesticide and use to use.

8

9 **Chemical Properties**

10

11 8. A particular pesticide's basic physical and chemical properties can have a large effect  
12 on its ability to move off-target and result in unintended exposures. For example, pesticides that  
13 contain carboxylic acid groups, such as the herbicide dicamba, can ionize under many  
14 environmental conditions, which affects how the chemical will bind to soil and its solubility,  
15 making it distinctly different from many other pesticides which do not ionize under  
16 environmental conditions. In certain instances, when soil pH is adequately low, carboxylic acid  
17 groups are not ionized which can greatly increase a pesticide's binding to soil, considerably  
18 reducing runoff. For pesticides with moderate to relatively high vapor pressures, such as  
19 dichlobenil, their ability to volatilize can be very important in affecting their potential for off-  
20 target movement. For these pesticides, volatilization may be the predominant route of  
21 dissipation from a use site.

22

23 9. The "affinity" of a pesticide for soil (that is, the extent to which binds to soil rather  
24 than move through the soil in water) greatly affects how much of the pesticide will be available  
25 to dissolve in water and runoff. Pesticide affinity for soil varies greatly from chemical to  
26 chemical. Pesticides bind to soil components such as organic matter, clay, and sand with  
27

1 different affinity. As a result, a pesticide's runoff potential is expected to vary with the pesticide  
2 and the soil that it contacts. Soil affinity is commonly expressed as a partition coefficient, a ratio  
3 of bound versus free pesticide. The higher the ratio the more pesticide will bind to soil and the  
4 less likely it is to runoff in water. When looking at soil binding in isolation, a chemical with a  
5 soil binding coefficient of 10 has approximately 10 times less pesticide available for runoff than  
6 a pesticide with a coefficient of 1.

7  
8 10. To demonstrate the range of soil binding coefficients that are associated with different  
9 pesticides that plaintiffs have identified as a potential concern for Pacific salmonids, it is worth  
10 comparing the values for the herbicide norflurazon and the insecticide chlorpyrifos. Norflurazon,  
11 which has partition coefficients ranging from 0.14 to 7.11, depending on the soil, is considered to  
12 be significantly more mobile than chlorpyrifos, which has coefficients ranging from 77 to 242.  
13 Thus, in general, for every pound of norflurazon and chlorpyrifos applied to a field, at least 10-  
14 times more norflurazon would be expected to be available for runoff than chlorpyrifos. Based on  
15 the difference in soil affinity alone, concentrations of these pesticides in runoff water and  
16 receiving surface water would be expected to vary greatly and thus resulting aquatic exposures  
17 would also vary greatly.

18  
19 11. Persistence of pesticides in the environment can vary greatly depending on  
20 environmental conditions. While some pesticides may be relatively stable under almost all  
21 environmental conditions, many degrade rapidly depending upon the conditions associated with  
22 the use of the pesticide. Since the "environment" can be divided into many compartments, e.g.,  
23 oxygen rich (aerobic) soil, oxygen depleted (anaerobic) soil, oxygen rich water, oxygen depleted  
24 water, it is important to understand environmental persistence in each of the compartments a  
25 pesticide is likely to contact. Since most agricultural pesticides come in contact with the soil  
26 exposed to the air in the field where they were applied, aerobic soil persistence is generally an

1 important factor in determining the amount of material that may be available for runoff some  
2 period of time after application. Some of the chemicals that plaintiffs have identified as a  
3 potential concern for Pacific salmonids can be used to exemplify the wide range of aerobic soil  
4 half lives. For example, the insecticide carbaryl generally degrades rapidly in aerobic soil, with a  
5 half around a day, while the herbicide atrazine degrades much more slowly in soil, with a half life  
6 around 100 days. Thus, in general, the amount of carbaryl expected to be available for runoff,  
7 and therefore exposure to non-target organisms, a week after application is expected to be much  
8 less than for atrazine.

9  
10 12. Along with determining how fast a chemical degrades, it can be just as important to  
11 identify what chemicals are formed as a pesticide degrades in order to evaluate the impact of the  
12 material that may actually reach non-target locations. Most pesticides become less toxic as they  
13 degrade, while others may become more toxic with relatively minor changes in their structures.  
14 Some organophosphate insecticides, such as chlorpyrifos, can undergo oxidation under some  
15 circumstances to form oxons which are generally more toxic than the parent pesticide. If the  
16 pesticide is likely to reach environments where it may be converted to a chemical with a  
17 significantly different toxicological profile than the parent compound, that should be considered  
18 in the assessment.

### 19 20 **Pesticide Use Considerations**

21  
22 13. In assessing the risks associated with a pesticide use and the need for and  
23 effectiveness of any mitigation options, it is important, at a minimum, to have some  
24 understanding the above factors as they relate to contributing to off-target exposures. In  
25 performing environmental risk assessments it is also important to consider the *pesticide use*  
26 being assessed. Aspects of pesticide use such as application rate, formulation type, application  
27

1 method, application timing, and droplet size of spray applications can all greatly affect the  
2 movement of pesticides from the application area to off-site areas. Each of these factors may  
3 vary by pesticide and the specific use of the pesticide.  
4

5 14. Application rate (the amount of pesticide active ingredient applied per unit area) can  
6 have a very large effect on off-target concentrations. Pesticide concentrations in runoff and spray  
7 drift are generally expected to be directly correlated to a pesticide's application rate. Application  
8 rate can vary greatly between pesticides and pesticide uses. Some pesticides require application  
9 rates of more than 10 pounds per acre in order to be effective at controlling a target pest. Other  
10 pesticides can be effective with applications rate of less than an ounce per acre. Thus pesticide  
11 application rate can vary by over 160-fold from pesticide-to-pesticide. Even for a single  
12 pesticide the application rate can vary based on a wide range of factors including the target pest,  
13 the application site, and environmental conditions. For example, the application rate for  
14 chlorpyrifos can vary from less than 0.008 lbs/acre for ant control (treating a single mound), 1  
15 lb/acre for an alfalfa field, 2 lbs/acre for cherries, to 6 lbs/acre on citrus orchards. For some uses  
16 such as chlorpyrifos treated cattle ear tags it is difficult to accurately estimate the low level of  
17 material that would be released to the environment. Given the importance of application rate to  
18 magnitude of off-target exposures and the wide range of applications rates that occur across  
19 pesticides and uses, it is necessary to consider application rate when assessing the need for, and  
20 effectiveness of, mitigation options.  
21

22 15. Pesticides are not normally applied as pure active ingredient. They are commonly  
23 mixed with other substances to improve their effectiveness. Different types of formulations  
24 include those intended to be mixed with water such as wettable powders, emulsifiable  
25 concentrates, or water dispersable granules. Other formulations like dusts and granulars maybe  
26 applied dry. Formulation can greatly affect the droplet size of the pesticide product when the  
27

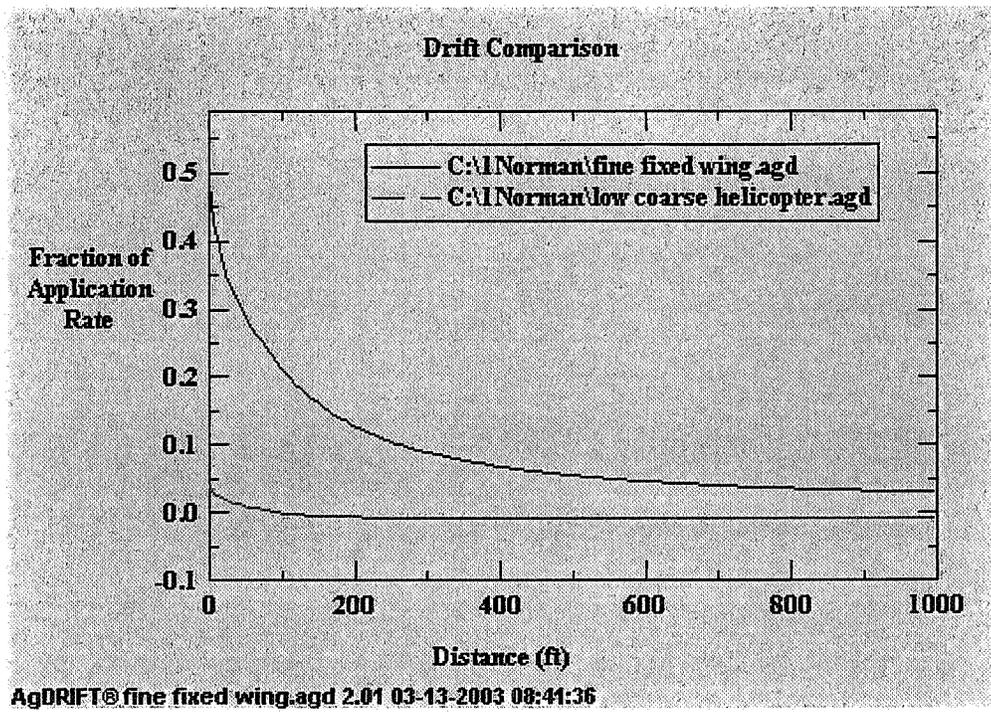
1 material is pumped through a spray nozzle, which in turn can affect the amount of material likely  
2 to move off-target via spray drift. Small droplet size (droplets less than approximately 150  
3 microns, 0.15 mm) can be one of the most important factors contributing to high drift levels. For  
4 example, the drift levels associated with fine liquid spray formulations are quite different from  
5 those observed for granular applications. Because of their particle size and weight, granular  
6 products have very low potential to move laterally during application, while liquid sprays may  
7 contain fine droplets which can more easily be carried off-target by air currents. Spray drift field  
8 studies, models, and review of drift incidents all support that granular applications have far lower  
9 drift potential than liquid sprays.

10  
11 16. Pesticide application methods vary tremendously and have a major effect on off-  
12 target spray drift and runoff of pesticides. Some pesticides may be applied in enclosed bait  
13 stations, in drip or overhead irrigation systems, in furrow, soil incorporated, or as banded  
14 applications. Pesticides that are applied in furrow, by seed treatment, or incorporated into the  
15 soil after application (commonly done by tilling) generally have much less pesticide available at  
16 the soil surface available for runoff. Insecticides, fungicides, and herbicides may all be applied  
17 in some way that results in much of the applied pesticide being unavailable at the soil surface.  
18 Runoff studies and models both suggest that applying pesticides below the soil surface  
19 significantly reduces runoff concentrations. Application method can also greatly affect the  
20 amount of pesticide that can drift off-target via secondary drift. Application methods that reduce  
21 the amount of material at the soil surface reduce the amount of secondary drift such as wind  
22 blown soil bound pesticide. If the amount of pesticide remaining at the surface is 1 to 10% of  
23 that applied, the range of values used in EFED risk assessment to account for soil incorporation  
24 in ecological exposure assessments, the amount of material available to blow off-target would be  
25 reduced by a factor of 10 to 100.

1           17. Application equipment specified on labels can include irrigation systems, ground  
2 boom sprayers, airblast or air assisted sprayers, fixed wing or rotary aircraft, and backpack  
3 sprayers. Application equipment can greatly affect the amount of off-target spray drift (the spray  
4 droplets being carried off-target during or shortly after application). When a pesticide is applied  
5 in irrigation water with a drip irrigation system there will be no suspended droplets available to  
6 drift (watering in a pesticide application can also reduce the amount available for runoff).

7  
8           18. The drift potential from different types of sprayers can vary greatly. Aerial  
9 applications are generally associated with the highest drift levels but even aerial drift levels can  
10 vary greatly depending on a number factors including the droplet size, release height, and wind  
11 speed. Helicopters, under certain circumstances, such as some forestry and agricultural  
12 applications, are capable of relatively low drift values by using very coarse sprays, such as a solid  
13 stream, and low release heights. Results from the AgDRIFT model (a computer-based predictive  
14 spray drift model) estimating off-target drift levels for a low release height (4 ft) coarse  
15 helicopter application (wind speed 10 mph) and a representative fixed wing release height (10 ft)  
16 with a fine spray (wind speed also 10 mph) demonstrate (see graph below) the differences in  
17 downwind off-target deposition levels expected under these conditions. The horizontal axis of  
18 the graph shows distance from the downwind edge of the target area and the vertical axis shows  
19 the amount of material, as fraction of the target application rate, depositing at particular distances  
20 downwind.

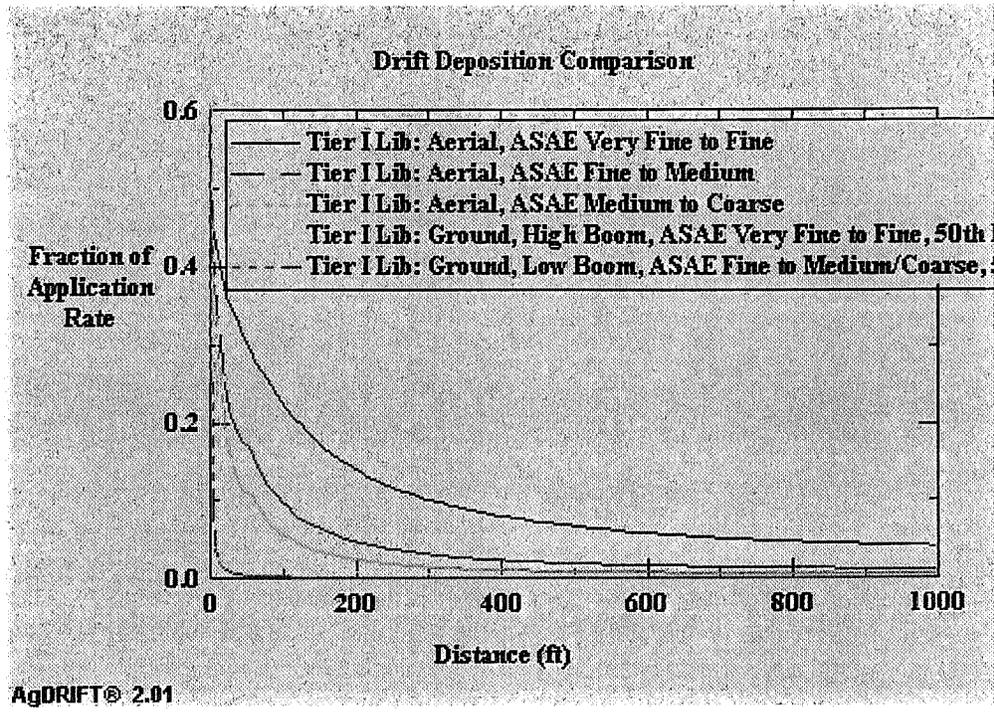
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The difference in deposition at 100 feet downwind is 24-fold. Under such circumstances, a restriction needed for fixed-wing aircraft may not be necessary for helicopters.

Default AgDRIFT downwind deposition curves, shown in the figure below, demonstrate some of the different drift levels that can result from different types of ground boom and fixed wing aerial applications.

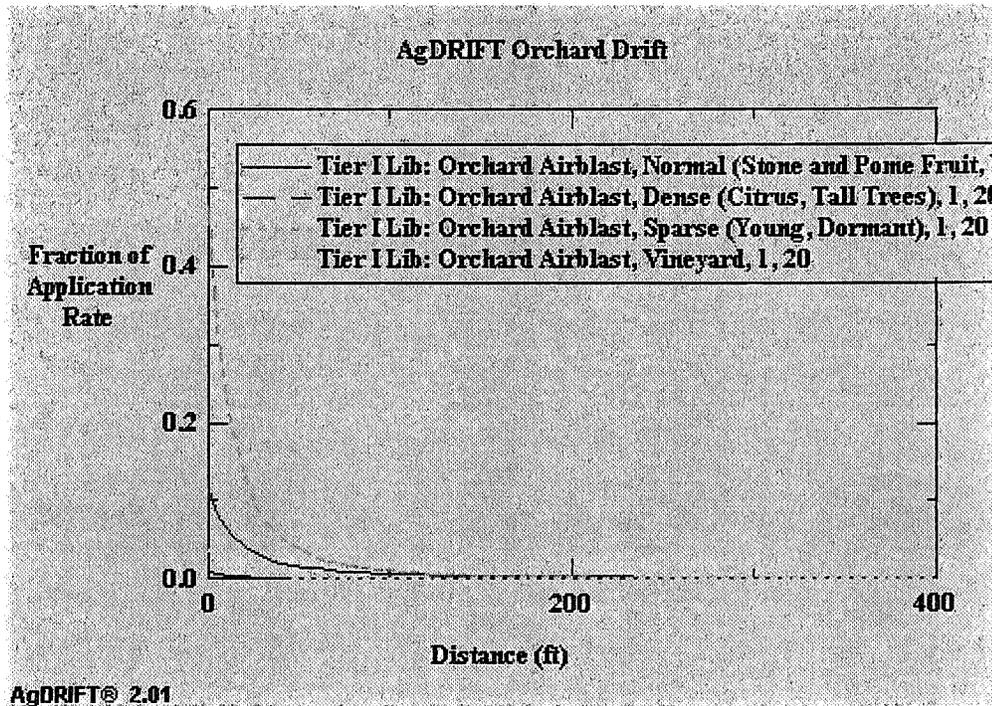
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19. Drift levels from orchard airblast applications are affected greatly by the type of orchard being sprayed. Characteristics such as canopy height, spacing, and density all affect air movement through the orchard, and therefore spray drift movement out of the orchard. The following diagram shows drift levels from similar equipment to different orchard types. Different types of airblast sprayers are also likely to affect drift levels. Radial sprayers that direct spray laterally and upward are likely to push spray higher, increasing the potential for spray to be carried out of the orchard by winds above the canopies. Tower sprayers, which direct spray downward into orchard canopies are likely to reduce the amount of spray moving above the canopy and thereby reduce off-target spray drift.

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13           20. Spray drift levels from ground boom sprayers can differ depending on a number of  
14 factors including the boom height (see paragraph 18). Most ground boom sprayers can adjust  
15 boom height to modify the height above the ground or crop canopy at which spray is released. In  
16 general, the higher the spray is released, the higher the drift potential. Some ground boom  
17 sprayers may use an air assist system, shields, or shrouds. Air assisted sprayers use a current of  
18 air to carry spray from the nozzle downward to the target. The air movement downward can  
19 effectively deliver the spray to the target surface reducing the amount of spray that may be  
20 carried off-site. Shields and shrouds can be used to block wind around nozzles reducing the  
21 amount of droplets that can blow off-target.

22  
23           21. Application timing can affect risks to non-target organisms. Many pesticides are  
24 applied at specific times of the year to control pests at sensitive life stages or critical points in  
25 crop development. If the pesticide is applied the time of year when runoff is most likely to occur,  
26 the rainy season, pesticide levels in runoff are likely to be increased. If pesticides are applied in

1 drier seasons, runoff concentrations would be expected to be lower as the material would have  
2 more time to dissipate in the target area prior to a runoff generating rainfall event. In addition,  
3 seasonal pesticide applications may or may not occur at times when sensitive species are in  
4 proximity to the treatment area.

5  
6 22. For pesticides that are applied as liquid sprays, the droplet size spectrum that is used  
7 in application can vary greatly. Herbicides that may be applied before the emergence of crops or  
8 weeds (such as atrazine and simazine) are typically applied onto bare ground in coarse sprays  
9 which have a relatively low drift potential. The herbicide on the soil inhibits the growth of  
10 weeds either through direct contact or by absorption through the roots. Insecticides with  
11 systemic activity, such as methomyl, also may be applied in relatively coarse sprays. Systemic  
12 insecticides can act through contacting plant foliage or roots and then being translocated in the  
13 plant. Pests feeding on the plant also ingest the absorbed pesticide. Because systemic pesticides  
14 can be distributed through the plant a fine spray covering the entire plant is not usually required.  
15 Pesticides that generally require a finer spray, and therefore have a higher drift potential, include  
16 certain fungicides and contact insecticides. Fine sprays are used for good "coverage." The small  
17 droplets of fine sprays are more likely to contact small insects or small fungal infections. Fine  
18 sprays can relatively evenly cover plant surfaces, with some deposition on leaf undersides. Thus,  
19 the effective droplet size can vary with the chemistry of the pesticide being applied and the target  
20 pest.

21  
22 23. Numerous spray drift field trials, wind tunnel experiments, and computer models  
23 show that droplet size is an important parameter affecting the magnitude of off-target spray drift.  
24 Using the AgDRIFT model for aerial applications, going from a relatively coarse spray to a  
25 relatively fine agricultural spray, holding all other inputs constant, results in more than a 6-fold  
26 increase in deposition at 100 feet downwind.





Crop	Screening-Level Time-Averaged Aquatic Phosmet Concentrations (ppb)				
	Peak	4-day	21-day	60-day	90-day
Alfalfa <sup>1</sup>	3.0	0.6	0.2	0.1	0.1
Apples <sup>2</sup>	26.2	5.0	1.4	0.8	0.5

<sup>1</sup> Alfalfa: 1 lb/acre, 8 aerial applications, 14-day interval, Oregon scenario.

<sup>2</sup> Apples: 4 lbs/acre, 5 airblast applications, 7-day interval, New York scenario.

As apples may be grown under many different weather conditions and soils, the environmental conditions that exist when phosmet is applied to apples may be very different in Oregon from those in upstate New York. The differences in environmental conditions for different apple growing areas was taken into account in the EFED phosmet risk assessment. Below is a table of peak screening-level concentrations (derived from PRZM/EXAMS modeling) taken from the EFED phosmet risk assessment displaying estimated aquatic concentrations resulting from varying environmental conditions.

Application rate	Screening-Level Aquatic Concentration (ppb)	
	Eastern Apples	Western Apples
High <sup>1</sup>	26.7	11.2
Low <sup>2</sup>	15.6	0.4

<sup>1</sup> High: 4 lbs active ingredient / acre, 5 airblast applications, 7 days apart

<sup>2</sup> Low: 1.5 lbs active ingredient / acre, 10 airblast applications, 7 days apart

Thus aquatic exposure, and risk, can vary by over 65-fold by changing application and environmental conditions while maintaining all the same chemical properties.

